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| Substitute for form 1449A/B/PTO   |   |    |   | <b>Complete if Known:</b> |                        |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Application Number        | 09/476,877-Conf. #8475 |
|   |   |    |   | Filing Date               | January 3, 2000        |
|   |   |    |   | First Named Inventor      | Lawrence M. Ausubel    |
|   |   |    |   | Art Unit                  | 2164                   |
|   |   |    |   | Examiner Name             | F. Poinvil             |
| Sheet   | 1 | of | 3 | Attorney Docket Number    | 21736-00010-US         |

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| U.S. PATENT DOCUMENTS |                       |  |                                |  |   |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| FP                    | AA                    | US-3573747                               | 04-1971                        | Adams et al  | 11  |
| FP                    | AB                    | US-3581072                               | 04-1971                        | Nymeyer  | 11  |
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| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
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| FP                       | BB                    | WO 97/37315   | 10-1997                        | WO  | —   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
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| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| AP                              | CA                    | Dialog Abstract: File 610, Acc#0489267; "Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for The Computer Museum in Boston"; May 24, 1995.                            |                |
| FP                              | CB                    | "Onsale Joins Fray as Online Shopping Picks Up Speed: Internet Booms"; Computer Reseller  |                |

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|--------------------|----------------|-----------------|---------|
| Examiner Signature | FRANTZ Poinvil | Date Considered | 5/24/04 |
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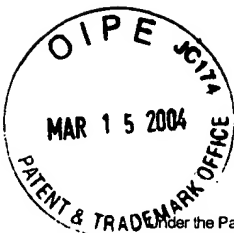
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | Application Number       | 09/476,877-Conf. #04 |
|  |   |    |   | Filing Date              | January 3, 2000      |
|  |   |    |   | First Named Inventor     | Lawrence M. Ausubel  |
|  |   |    |   | Art Unit                 | 2164                 |
|  |   |    |   | Examiner Name            | F. Poinvil           |
|  |   |    |   | Attorney Docket Number   | 21736-00010-US       |
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|--------------------|-----------|-----------------|---------|
| Examiner Signature | F Poinvil | Date Considered | 5/24/04 |
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|  |   |    |   | First Named Inventor     | Lawrence M. Ausubel    |
|  |   |    |   | Art Unit                 | 2164                   |
|  |   |    |   | Examiner Name            | F. Poinvil             |
|  |   |    |   | Attorney Docket Number   | 21736-00010-US         |
| Sheet  | 3 | of | 3 |                          |                        |

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